### BEFORE THE DEPARTMENT OF TRANSPORTATION WASHINGTON, D.C.

Joint Application of	)	
SCANDINAVIAN AIRLINES SYSTEM AND AIR BALTIC CORPORATION JSC	) ) )	
	Ć	Docket OST-2009-
for a statement of authorization and related	)	
exemption authority pursuant to 14 CFR	)	
Part 212 and 49 U.S.C. § 40109	)	

# JOINT APPLICATION OF SCANDINAVIAN AIRLINES SYSTEM AND AIR BALTIC CORPORATION JSC FOR STATEMENT OF AUTHORIZATION AND RELATED EXEMPTION AUTHORITY

Communications with respect to this document should be addressed to:

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Dated: May 4, 2009

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Counsel for SCANDINAVIAN AIRLINES SYSTEM AND AIR BALTIC CORP. JSC

NOTICE: Any person may support or oppose this application by filing an answer and serving a copy on counsel for Scandinavian Airlines System and Air Baltic Corp. JSC, and upon each person served with this application. The due date for answers addressing the application for a statement of authorization is May 13, 2009 and for answers addressing the application for exemption is May 19, 2009.

#### BEFORE THE DEPARTMENT OF TRANSPORTATION WASHINGTON, D.C.

Joint Application of	)	
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exemption authority pursuant to 14 CFR	)	
Part 212 and 49 U.S.C. § 40109	)	
	)	

# JOINT APPLICATION OF SCANDINAVIAN AIRLINES SYSTEM AND AIR BALTIC CORPORATION JSC FOR A STATEMENT OF AUTHORIZATION AND RELATED EXEMPTION AUTHORITY

Scandinavian Airlines System ("SAS") and Air Baltic Corporation JSC ("AirBaltic") (collectively "Joint Applicants") hereby request that the Department issue SAS a blanket statement of authorization under 14 C.F.R. Part 212 that would permit SAS to display AirBaltic's "BT" designator code on all of its transatlantic flights from Stockholm, Sweden and Copenhagen, Denmark, and other points in the European Union to and from points in the United States, and to grant AirBaltic related exemption authority from 49 U.S.C. § 41301 pursuant to 49 U.S.C. § 40109 to the extent necessary to allow it to engage in scheduled foreign air transportation between points in the European Union and points in the United States pursuant to codeshare arrangements with SAS. All of AirBaltic's U.S. service under the exemption authority will be provided through its codeshare with SAS on SAS-operated flights; AirBaltic has not previously and will not under the codeshare directly operate any flights to or from the United States. A list of the initial codeshare city-pairs that the Joint Applicants will implement upon approval of this application is attached as Appendix A hereto. It is requested that the statement

of authorization remain in effect for a period of unlimited duration (subject to the Department's usual conditions), and that the related exemption authority issued to Air Baltic pursuant to this application remain in effect for a period of at least two years.

Because Latvia, Sweden, and Denmark are members of the European Union the application is being filed under the provisions of the U.S.-E.U. Reciprocal Recognition Procedures Agreement (DOT Notice dated February 19, 2009), adopted pursuant to that U.S.-E.U. Open Skies Agreement.<sup>1</sup>

In support of this application, the Joint Applicants state as follows:

1. AirBaltic is the Latvian national carrier. Its full name is Air Baltic Corporation JSC, and its corporate address is Riga International Airport, Riga, Latvia, LV 1053. AirBaltic is licensed by the Ministry of Transport of the Republic of Latvia Civil Aviation Agency ("Latvia Ministry of Transport"), Gogoja iela 3, Riga, Latvia, LV 1743, to perform commercial air transport operations and is duly qualified to participate in a codeshare with SAS. A copy of the current Air Operator Certificate ("AOC") held by AirBaltic, which has no expiration date, is attached as Exhibit 1 hereto. A letter from the Latvia Ministry of Transport confirming AirBaltic's transatlantic codeshare authority for flights included in Annex 1.1 to the SAS/AirBaltic codeshare agreement is attached as Exhibit 2 hereto. Annex 1.1, which identifies all of the flights included in the comprehensive reciprocal codeshare arrangement between SAS and AirBaltic, includes each of the SAS-operated transatlantic flights that are the subject of the

<sup>&</sup>lt;sup>1</sup> DOT's February 19, 2009 notice states only requires the following evidence to be submitted with the applicants for U.S. authority: 1. The name and address of the applicant; 2. A statement of the authority sought, including whether the service proposed is to be scheduled or charter, or both, and whether the service would be passenger or property and mail, or both; 3. Evidence of the applicant's operating authority issued by its homeland that relates to the operations proposed; 4. The name of the foreign transport authority involved; 5. A statement of whether the applicant's insurance coverage meets or exceeds the liability limits of 14 CFR 205, and the name of the insurance carrier; and 6. The applicant's waiver of liability limits under the Warsaw Convention. All of the above is provided below in support of AirBaltic's application for U.S.-E.U. exemption authority.

present application. A letter from the Latvia Ministry of Transport specifically authorizing AirBaltic to exercise scheduled air service between Riga and the United States is also attached as Exhibit 3.

- 2. AirBaltic was established in 1995 with the signing of a joint venture agreement between SAS and the Latvian state. The Latvian state has always held a 52.6% majority stock interest. SAS initially owned 29% of AirBaltic's stock, which was increased to 47.2% through additional stock purchases made in October of 2001 from the other participants. The business relationship between SAS and AirBaltic has always been a close one. AirBaltic identifies SAS on its website as a partner, it co-ordinates its flight schedules from the Baltic states with SAS flights, and it participates in SAS's EuroBonus frequent flyer program.
- 3. On January 29, 2009, SAS sold all of its AirBaltic stock to Baltijas Aviacijas Sistemas SIA, which is owned by Bertolt Martin Flick, the president and CEO of the company. A list of Air Baltic's officers and key personnel, all residents and citizens of Latvia or other E.U. member states, is attached as Exhibit 4.
- 4. In 2008 AirBaltic completed a large fleet expansion, bringing its fleet to 26 aircraft, including 2 Boeing 757-200s, 6 Boeing 737-300s, 10 Boeing 737-500s, and 8 F-27MK 050 aircraft. Operating principally from Riga, Latvia and Vilnius, Lithuania, AirBaltic carried nearly 2.8 million passengers to and from 54 destinations, including SAS's hubs at Copenhagen, Stockholm, and Oslo.
- 5. AirBaltic has not been involved in any safety violations, tariff violations, or fatal accidents in the past five years.

- 6. AirBaltic maintains liability insurance that meets the requirements of 14 CFR Part 205. A copy of AirBaltic's current certificate of insurance on OST Form 6411, evidencing insurance coverage provided by Certain Lloyd's Underwriters and Various Insurance Companies, is attached as Exhibit 5. Air Baltic's signed counterpart to the Montreal Agreement on OST Form 4523, is also attached as Exhibit 6.
- 7. SAS and AirBaltic expect the longstanding cooperative business relationship between the two carriers to continue notwithstanding SAS's divesture of its ownership interest in AirBaltic and to be enhanced through the proposed codeshare arrangement which SAS and AirBaltic plan to initiate by summer of 2009. Apart from its codeshare agreement with SAS, AirBaltic does not have any agreements or cooperative working agreements with any foreign or U.S. carrier affecting its proposed services to the United States. Based upon the foregoing, Air Baltic should be granted U.S.-E.U. exemption authority for services pursuant to the proposed codeshare arrangement with SAS.
- 8. SAS and AirBaltic request that the Department grant the blanket codeshare statement of authorization as requested herein. Latvia, Sweden, and Denmark are members of the European Union. Under Article 10 of the Air Transport Agreement Between the United States and the European Community, effective March 30, 2008 ("U.S.-E.U. Open Skies Agreement"), cooperative marketing arrangements among E.U. airlines, including codesharing arrangements, are authorized. Indeed, Article 10(7)(a) specifically authorizes carriers such as AirBaltic and SAS, that are from member states of the E.U., to enter codeshare arrangements:

In operating or holding out services under the Agreement, any airline of a Party may enter into cooperative marketing arrangements, such as blocked-space or code-sharing arrangements, with:

- (a) any airline or airlines of the Parties;
- (b) any airline or airlines of a third country; and
- (c) a surface (land or maritime) transportation provider of any country;

provided that (i) all participants in such arrangements hold the appropriate authority and (ii) the arrangements meet the conditions prescribed under the laws and regulations normally applied by the Parties to the operation or holding out of international air transportation.

SAS holds a foreign air carrier permit issued by Order 2008-5-5, authorizing it to provide service to and from the United States to the full extent permitted by the U.S.-E.U. Open Skies Agreement. SAS holds the appropriate underlying DOT authority necessary to perform the proposed codeshare services.

- 9. Approval of the SAS/AirBaltic codeshare authority requested herein would be in the public interest. SAS already serves the routes that it will be serving pursuant to the codeshare arrangement with Air Baltic. The inclusion of Air Baltic's passengers on these SAS flights should benefit the traveling public in both the United States and Europe both by ensuring the successful continuation of these existing services and by introducing another competitor into the U.S. markets currently served by SAS. It will also facilitate travel from the points in the Baltic states served by AirBaltic, which do not at present have any single-carrier service to and from the United States.
- 10. SAS and AirBaltic agree to accept the conditions normally applicable to codeshare authority such as requested herein. This includes the requirement to notify the Department 30 days in advance of any new codeshare operation and to notify the Department of any codeshare operation the carriers have agreed to discontinue.
- 11. This application raises no environmental or energy issues. Since approval is sought only to add AirBaltic's designator code on flights that are currently being operated by

SAS, grant of this application will not result in a near-term increase in fuel consumption of 10 million gallons or more. For the same reasons, approval will not result in an increase in noise levels.

- 12. SAS and AirBaltic request that the blanket statement of authorization be issued for an indefinite period so long as the codeshare agreement continues in effect. The carriers will notify the Department if that agreement should cease to be effective.
- 13. SAS and Air Baltic propose to offer these codeshare services as soon as possible after receiving all requisite government approvals. The carriers therefore respectfully request that the Department grant this application as expeditiously as possible.
- 14. A blanket codeshare authorization is consistent with the Department's efforts to reduce the administrative burden on both carrier applicants and the Department, because it would eliminate the need for SAS and AirBaltic to seek a new or amended statement of authorization every time new codeshare services are to be implemented. In addition, if blanket authority is granted, SAS and AirBaltic could implement the new service without delays due to the regulatory process and the traveling public would benefit from such new services more quickly.
- 15. A grant of this request is supported by Department precedent granting similar requests by two foreign air carriers for exemption authority and, where needed, statements of authorization that would allow one of the foreign carriers to place its designator code on certain flights to the United States operated by another foreign carrier. E.g., BA European Ltd t/a Open Skies, Docket OST-2008-0153 (May 2, 2008) (Elysair SAS to codeshare on flights operated by BA European Ltd. t/a Open Skies); Air Berlin PLC & Co. Luftverkehrs KG, LTU Lufttransport-Unternehmen GmbH, Docket OST-2007-0035 (Dec. 6, 2007) (AirBerlin to codeshare on flights

Joint Application of SAS & AirBaltic

May \_, 2009

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operated by LTU); Meridiana, S.p.A, Docket OST-2006-25044, & Eurofly, S.p.A., Docket

OST-2006-20545 (April 6, 2007) (Meridiana to codeshare on flights operated by Eurofly, S.p.A).

WHEREFORE, the Joint Applicants respectfully request that SAS be granted a blanket

statement of authorization under Part 212 to the extent necessary to permit SAS to place Air

Baltic's "BT" designator code on its transatlantic flights between the European Union and the

United States under a codeshare arrangement between SAS and AirBaltic; that AirBaltic be

granted U.S.-E.U. exemption authority from 49 USC § 41301 as described herein; and that the

Department grant such other relief as it may deem necessary and proper.

Respectfully submitted,

Michael F. Goldman

Claire Shapiro

SILVERBERG, GOLDMAN & BIKOFF, L.L.P.

Michael Goldman

1101 30<sup>th</sup> Street, N.W., Suite 120

Washington, DC 20007

Counsel for

SCANDINAVIAN AIRLINES SYSTEM

AND AIR BALTIC CORPORATION JSC

May 4, 2009

# APPENDIX A

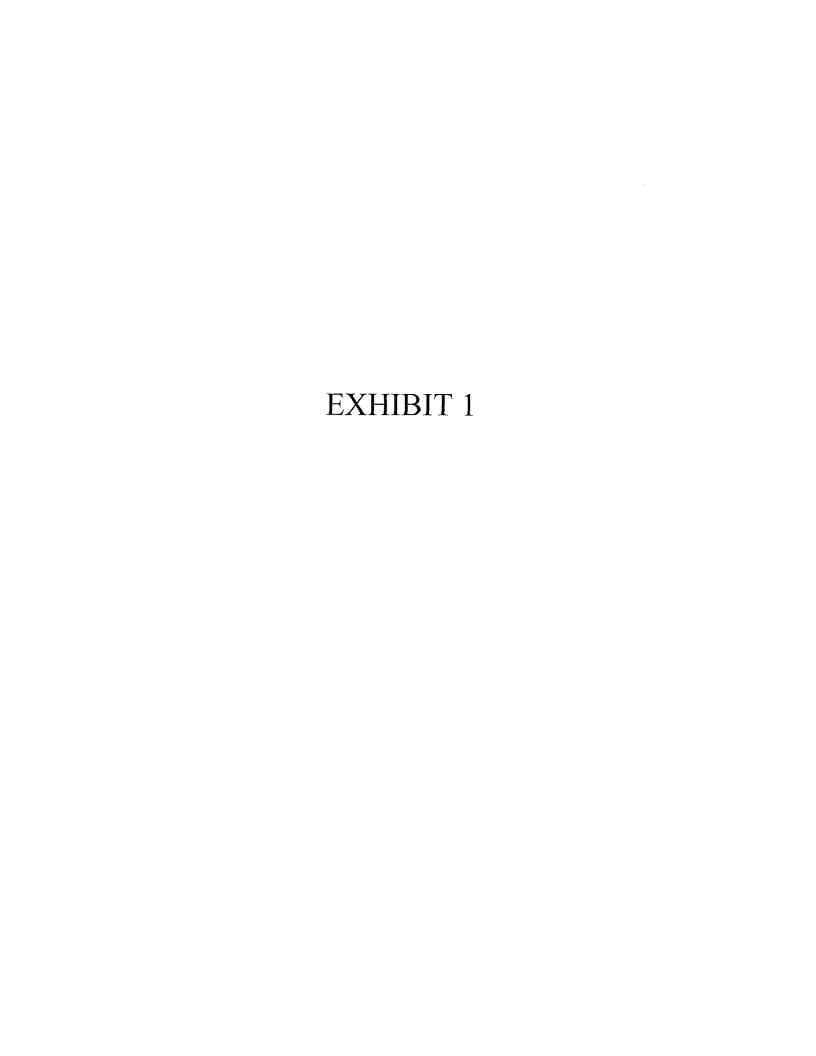
# **LIST OF INITIAL CODESHARE CITY PAIRS**

AirBaltic "BT" code to be displayed on following SAS transatlantic city pairs

EWR-CPH EWR-ARN ORD-CPH ORD-ARN IAD-CPH

# **LIST OF EXHIBITS**

Number	<u>Title</u>
Exhibit 1	Air Operator Certificate
Exhibit 2	March 31, 2009 Confirmation of AirBaltic's Transatlantic Codeshare Flights by Latvia Ministry of Transport with Annex 1.1 attached
Exhibit 3	Oct. 18, 2007 authorization of scheduled air service between Riga and the United States by Latvia Ministry of Transport
Exhibit 4	AirBaltic Officers and Key Management Personnel
Exhibit 5	Insurance Certificate (OST Form 6411)
Exhibit 6	Montreal Agreement Counterpart (OST Form 4523)





# LATVIJAS REPUBLIKA – Civilās aviācijas aģentūra REPUBLIC OF LATVIA - Civil Aviation Agency

LIDOSTA "RĪGA" 10/1, MĀRUPES PAGASTS, LV-1053, LATVIJA Telephone: + 371 678030936 Fax: +371 670830967 E-mail: Latcaa@Latcaa.gov.lv

# GAISA KUĢU EKSPLUATANTA APLIECĪBA (GKEA) AIR OPERATOR CERTIFICATE

Nr. LVA-022

# A/S "AIR BALTIC CORPORATION"

AIR BALTIC CORPORATION JSC

### EKSPLUATĀCIJAS NOSACĪJUMI OPERATIONS SPECIFICATIONS

A) Darbības veids(i) *Type(s) of Operations* 

Al Pasažieru (Passenger) A2 Kravas (Cargo)

B) Gaisa kuģa tips(i) Type(s) of Aircraft

BOEING 757-200 BOEING 737-300 BOEING 737-500

A1; A2 A1: A2 A1; A2 A1; A2

C) Darbības reģions(i) Area(s) of Operations

BOEING 757-200 **BOEING 737-300 BOEING 737-500** 

F-27MK 050

EUR; MID/ASIA EUR; MID/ASIA EUR; MID/ASIA **EUR** 

F-27MK 050

(In accordance with ICAO Doc 7030)

D) Speciālie icrobežojumi Special Limitations

Nav (None)

Izsniedzējs (Issued by):

Paraksts (Signature):

Vārds, uzvārds (Name):

Ienemamais amats:

(Title)

Datums (Date): 03.04.2009

JAA Form 100

Civil Aviation Agency AOC No: LVA-022 Operations Specifications Page 1 of 3

//Māris Gorodcovs Direktors

(Director)



# LATVIJAS REPUBLIKA – Civilās aviācijas aģentūra REPUBLIC OF LATVIA - Civil Aviation Agency

LIDOSTA "RĪGA" 10/1, MĀRUPES PAGASTS, LV-1053, LATVIJA Telephone: + 371 678030936 Fax: +371 670830967 E-mail: Latcaa@Latcaa.gov.lv

# GAISA KUĢU EKSPLUATANTA APLIECĪBA (GKEA) AIR OPERATOR CERTIFICATE

Nr. LVA-022

## A/S "AIR BALTIC CORPORATION"

AIR BALTIC CORPORATION JSC

# EKSPLUATĀCIJAS NOSACĪJUMI

OPERA:	TIONS .	SPECIF	TICATIONS
E) Speciālas atļaujas / apstiprinājumi Special Authorizations/Approvals	BOEING 757- BOEING 737- BOEING 737- F-27MK 050		-300 E1; E2; E8; E9; E11 -500 E1; E2; E8; E9; E11 E1; E8; E9; E11
	El		I Operations 300m; DH-100ft
	E2		IIA Operations 200m; DH-50ft
	E8		rināta ekspluatācijai B-RNAV un P-RNAV vidē RNAV & Precision RNAV Operations)
	E9	Samazi	tinātā vertikālā distancēšana (RVSM)
	E11		nās kravas, izņemot radioaktīvos materiālus erous Goods except radioactive materials)
F) Gaisa kuģu reģistrācijas zīmes Aircraft Registration Marks		-300 -500 4K 050	YL-BDC; YL-BDB YL-BBI; YL-BBJ; YL-BBK; YL-BBL YL-BBY; YL-BBX; YL-BBR YL-BBD; YL-BBE; YL-BBF; YL-BBG; YL-BBH; YL-BBM; YL-BBN; YL-BBP; YL-BBQ YL-BAA; YL-BAR; YL-BAS; YL-BAT YL-BAU; YL-BAW; LY-BAO; LY-BAV LY-BAZ; TF-JMS
		zējs <i>(Issi</i>	A. C.
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	Vārds, uzvārds Ieņemamais am (Title)		
	Datum:	s (Date):	: 03.04.2009

JAA Form 100 Civil Aviation Agency AOC No: LVA-022 Operations Specifications Page 2 of 3



# LATVIJAS REPUBLIKA – Civilās aviācijas aģentūra REPUBLIC OF LATVIA – Civil Aviation Agency

LIDOSTA "RĪGA" 10/1, MĀRUPES PAGASTS, LV-1053, LATVIJA Telephone: + 371 678030936 Fax: +371 670830967 E-mail: Latcaa@Latcaa.gov.lv

# GAISA KUĢU EKSPLUATANTA APLIECĪBA (GKEA) AIR OPERATOR CERTIFICATE

Nr. LVA-022

## A/S "AIR BALTIC CORPORATION"

AIR BALTIC CORPORATION JSC

#### EKSPLUATĀCIJAS NOSACĪJUMI OPERATIONS SPECIFICATIONS

G) Lidotspējas uzturēšanas organizācijas LV. MG. 0001 apliecības Nr.

Continued Airworthiness Management Organization Certificate number

#### H) CAA apstiprinātais personāls

Personnel accepted by the CAA

Accountable Manager:

Quality Manager:

Accident Prevention & Flight Safety

Programme Manager:

Bertolt Martin Flick Lauris Mikelsons

Ojārs Ivanovs

#### Nominated Postholders and Contact Details for:

Flight Operations:

Pauls Cālītis

Tel. + 371 67207282; Fax: +371 67207867

E-mail: pcs@airbaltic.lv

The Maintenance System:

Algirds Mikelsons

Tel. + 371 67207456; Fax: +371 67207186

E-mail: mls@airbaltic.lv

Ground Operations and

Dangerous Goods:

Jonny Andersen

Tel. + 371 67207160; Fax: +371 67207797

E-mail: jon@airbaltic.lv

Crew Training:

Vadims Prudnikovs

Tel. + 371 67207573; Fax: +371 67207867

E-mail: vpi@airbaltic.lv

Izsniedzējs (Issued by):

Paraksts (Signature):

Vārds, uzvārds (Name):

Ienemamais amats:

(Title)

Datums (Date): 03.04.2009

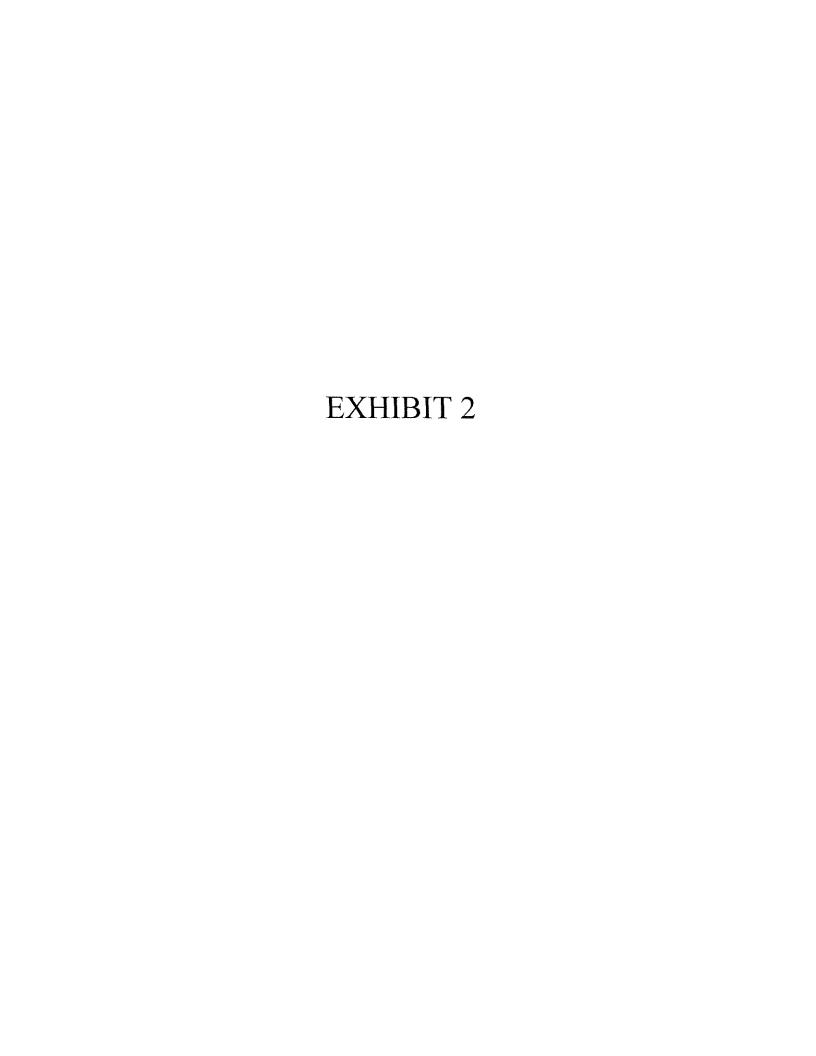
Māris Gorodcovs

Direktors (Director)

Datums (Date): 03.04.200

JAA Form 100

Civil Aviation Agency AOC No: LVA-022 Operations Specifications Page 3 of 3





# LATVIJAS REPUBLIKAS SATIKSMES MINISTRIJA MINISTRY OF TRANSPORT OF THE REPUBLIC OF LATVIA

# AVIĀCIJAS DEPARTAMENTS

**AVIATION DEPARTMENT** 

19 и започны поблучны выштор и започны объекто и започны объекто

Riga St. 03. 2009 Nr. 14-19/178

Air Baltic Coorporation

Riga International Airport Riga, LV-1053 Latvia

Fax: +371 67207369

Subject: code share flight schedules

The Department of Aviation of the Ministry of Transport of the Republic of Latvia would like to inform you that we accept the code share flight schedules of the Annex 1.1 to the Code Share Agreement between "Scandinavian Airlines System" and "Air Baltic Corporation" effective from 29 March 2009.

Sincerely Yours,

Arnis Muiznieks Director

\_\_\_\_Dnccr

## ANNEX 1.1: CODE SHARE FLIGHT SCHEDULES

to the Code Share Agreement between Scandinavian Airlines (SK) and airBaltic.

Subject to all necessary regulatory approvals, Scandinavian Airlines and airBaltic shall display their respective codes on the following flights:

# 1. Code Share Flight Schedules: Free Sale Arrangements

#### 1.1 Operated by airBaltic:

Operating Flight No.	Marketing Flight No.	Route	Days of Operation	Dep	Arr	Period	Equipment (Aircraft type)
BT101	SK9619	RIX-ARN	1234567	07:00	07:10	29MAR09-24OCT09	737
BT102	SK9620	ARN-RIX	1234567	07:45	09:45	29MAR09-24OCT09	737
BT107	SK9627	RIX-ARN	1234567	13:10	13:35	29MAR09-24OCT09	F50
BT108	SK9600	ARN-RIX	1234567	15:00	17:15	29MAR09-24OCT09	F50
BT109	SK9629	RIX-ARN	1234567	19:10	19:20	29MAR09-24OCT09	737
BT110	SK9630	ARN-RIX	1234567	19:50	21:50	29MAR09-24OCT09	737
BT121	SK9625	RIX-GOT	145	18:20	19:20	09APR09-13APR09	F50
BT122	SK9626	GOT-RIX	145	19:45	22:35	09APR09-13APR09	F50
BT121	SK9625	RIX-GOT	12.45.7	18:20	19:20	16APR09-23OCT09	F50
BT122	SK9626	GOT-RIX	12.45.7	19:45	22:35	16APR09-23OCT09	F50
BT151	SK9655	RIX-OSL	123456.	06:50	07:25	29MAR09-24OCT09	737
BT152	SK9654	OSL-RIX	123456.	08:05	10:35	29MAR09-24OCT09	737
BT153	SK9623	RIX-OSL	1234567	19:00	19:35	29MAR09-24OCT09	737
BT154	SK9624	OSL-RIX	1234567	20:05	22:35	29MAR09-24OCT09	737
BT159	SK9617	RIX-OSL	12345.7	13:10	14:25	29MAR09-24OCT09	F50/737
BT160	SK9618	OSL-RIX	12345.7	15:00	18:05	29MAR09-24OCT09	F50/737
BT131	SK9613	RIX-CPH	1234567	07:00	07:30	29MAR09-24OCT09	737
BT132	SK9614	CPH-RIX	1234567	08:00	10:20	29MAR09-24OCT09	737
BT135	SK9633	RIX-CPH	1234567	12:30	13:30	29MAR09-24OCT09	F50/735
BT136	SK9632	CPH-RIX	1234567	15:05	18:05	29MAR09-24OCT09	F50/735
BT139	SK9651	RIX-CPH	1234567	18:35	19:05	29MAR09-24OCT09	737
BT140	SK9650	CPH-RIX	1234567	19:45	22:05	29MAR09-240CT09	737
BT111	SK9683	VNO-ARN	1234567	12:00	13:00	29MAR09-24OCT09	F50
BT112	SK9684	ARN-VNO	1234567	13:30	16:30	29MAR09-24OCT09	F50

# Code Share Agreement between Scandinavian Airlines System and airBaltic

BT161	SK9659	VNO-CPH	1234567	06:55	07:30	29MAR09-24OCT09	735
BT162	SK9658	CPH-VNO	1234567	08:40	11:10	29MAR09-24OCT09	735
BT165	SK9665	VNO-CPH	1234567	18:20	18:55	29MAR09-24OCT09	735
BT166	SK9666	CPH-VNO	1234567	20:30	23:00	29MAR09-24OCT09	735

All Times are local

## 1.2 Operated by airBaltic:

Intentionally left open -

#### All Times are local

## 1.3 Operated by Scandinavian Airlines:

Operating Flight Nr	Marketing Flt Nr	Route	Days	DEP	ARR	Period	Equipment A/C type
SK 1588	BT5112	LUX-CPH	12345	08:25	11:55	29MAR09-24OCT09	CR2
SK 1588	BT5112	LUX-CPH	67	08:30	12:00	29MAR09-24OCT09	CR2
SK 1587	BT5111	CPH-LUX	12345	08:20	07:55	29MAR09-24OCT09	CR2
SK 1587	BT5111	CPH-LUX	67	08:25	08:00	29MAR09-24OCT09	CR2
SK 2584	BT5122	LUX-CPH	12345	17:15	18:50	29MAR09-24OCT09	CR2
SK 2583	BT5121	CPH-LUX	12345	15:05	14:40	29MAR09-24OCT09	CR2
SK 540	BT5114	MAN-CPH	12345	10:15	13:15	29MAR09-24OCT09	M81/M82/M87/321
SK 540	BT5114	MAN-CPH	67	10:20	13:20	29MAR09-24OCT09	M81/M82/M87/321
SK 539	BT5113	CPH-MAN	12345	08:25	09:30	29MAR09-24OCT09	M81/M82/M87/321
SK 539	BT5113	CPH-MAN	67	08:35	09:35	29MAR09-24OCT09	M81/M82/M87/321
SK 542	BT 5142	MAN-CPH	6.	16:35	19:25	29MAR09-24OCT09	M81/M82/319
SK 541	BT5119	CPH-MAN	6.	14:55	15:55	29MAR09-24OCT09	M81/M82/319
SK 1610	BT5116	GVA-CPH	7	11:20	13:20	29MAR09-24OCT09	M81/M82/M87/321/319
SK 1610	BT5116	GVA-CPH	12345	11:50	13:50	29MAR09-24OCT09	M81/M82/M87/321/319
SK 618	BT5118	GVA-CPH	6.	16:50	18:50	29MAR09-24OCT09	M81/M82/M87/321/319
SK 613	BT5115	CPH-GVA	7	08:35	10:35	29MAR09-24OCT09	M81/M82/M87/321/319
SK 613	BT5115	CPH-GVA	12345	09:05	11:05	29MAR09-24OCT09	M81/M82/M87/321/319
SK 617	BT5117	CPH-GVA	6.	14:10	16:10	29MAR09-24OCT09	M81/M82/M87/321/319

SK 2548	BT5102	MAN-ARN	12345	10:05	13:25	29MAR09-24OCT09	M82 / 736
SK 2549	BT 5105	ARN-MAN	12345.7	16:35	18:00	29MAR09-24OCT09	M82 / 736
SK 2547	BT5101	ARN-MAN	12345	07:50	09:15	29MAR09-24OCT09	M82 / 736
SK 2616	BT5104	GVA-ARN	12	12:05	14:45	29MAR09-24OCT09	M82 / 736
SK 2616	BT5104	GVA-ARN	5	13:30	16:10	29MAR09-24OCT09	M82 / 736
SK 2616	BT5104	GVA-ARN	34	13:50	16:30	29MAR09-24OCT09	M82 / 736
SK 2615	BT5103	ARN-GVA	12	08:25	11:05	29MAR09-24OCT09	M82 / 736
SK 2615	BT5103	ARN-GVA	5.,	09:50	12:30	29MAR09-24OCT09	M82 / 736
SK 2615	BT5103	ARN-GVA	34	10:10	12:50	29MAR09-24OCT09	M82 / 736

SK 910	BT 5120	EWR-CPH	1234567	16:40	07:15	29MAR09-24OCT09	333/ 343
SK 909	BT 5129	CPH-EWR	1234567	12:20	13:45	29MAR09-24OCT09	333/343
SK 926	BT 5126	IAD-CPH	1234567	21:15	07:15	29MAR09-24OCT09	333
SK 925	BT 5125	CPH-IAD	1234567	12:20	19:00	29MAR09-24OCT09	333
SK 944	BT 5144	ORD-CPH	1234567	03:05	13:20	29MAR09-24OCT09	343
SK 943	BT 5143	CPH-ORD	1234567	15:35	22:30	29MAR09-24OCT09	343

SK	904	BT 5124	EWR-ARN	1234567	16:20	07:15	29MAR09-24OCT09	333
SK	903	BT 5133	ARN-EWR	1234567	10:40	12:10	29MAR09-24OCT09	333
SK	946	BT 5146	ORD-ARN	1234567	21:25	07:45	29MAR09-24OCT09	333
SK	945	BT 5145	ARN-ORD	1234567	10:15	17:20	29MAR09-24OCT09	333

All time are local

#### Note:

- The code share flights under the above Items 1.2, 1.3 are to be sold as the through traffic only. Therefore, tickets issued solely on such Code Share Flights are not allowed.
- The above-mentioned schedules are as of March 29, 2009. Any changes in the operating schedules will be notified by the OC through the exchange of IATA-SSIM format file transmission.
- The actual code share flights may change from time to time as the OC changes its operating schedule. The respective scheduling departments will coordinate on the selection of alternative flights in case the changes in the OC's schedules result in a misconnection with the MC's flights.
- These flights shall be operated as a code-shared flight (SKBT) only after permission has been received from relevant authorities of the countries involved.

#### 1. Code Share Operations

The Parties shall:

- (a) Establish a dedicated flight number range for use by Scandinavian Airlines and airBaltic for use on the Code Share Flights;
- (b) Establish an automated transfer of flight schedule information between both Parties to allow efficient loading of the Code Share Flights prior to filing with OAG:
- (c) Exchange custom SSIM files to update flight schedules in the system. The SK SSIM file sent to airBaltic/SK includes the data as same as SK/airBaltic sends to OAG.
- (d) Establish a communication procedure to advise the other party of passenger re-accommodation plans in the event of schedule changes or equipment changes involving a Code Share Flight.

#### 3. Prices under Free Sale Arrangement

Settlement of revenue shall be made through the IATA Clearing House in accordance with the agreed rate of if applicable, as per the Special Prorate Agreement in effect between the Parties.

### 4. Schedule and Equipment Changes

The OC shall inform the MC by SSIM exchange, telex or e-mail of any planned schedule changes or major aircraft substitutions it may be required to make from time to time, as soon as reasonably possible.

#### 4.1 Normal Schedule Change

SK:

**CPHRUSK** 

**CPHRU** Action Team

E-mail:

Phone:

Fax:

airBaltic: RIXSPBT

Network Planning Dep

E-mail:

Phone:

Fax:

#### 4.2 Schedule Change / Irregularities

SK:

**CPHOPSK** 

Operations Control Center (24-hour)

Duty Manager

E-mail:

Phone:

Fax:

airBaltic: RIXOPBT

MCC (Movement Control Center)

**Duty Manager** 

E-mail:

Phone:

Fax:

#### 4.3 Emergency

SK:

**CPHOPSK** 

# Code Share Agreement between Scandinavian Airlines System and airBaltic

	Operations Control Center	(24-hour)
	Duty Manager	
	E-mail:	
	Phone:	:
	Fax:	
airBaltic:	RIXOPBT	
	MCC	
	Duty Manager	
	E-mail:	
	Phone:	
	Fax:	;

## 5. Minimum Connecting Times (MCT)

In principle, the MCT of the MC for any gateway and beyond gateway shall apply the same value as the MCT of the OC.

Otherwise, both Parties shall discuss and agree on the new MCT as deem appropriate.

Upon concurrence, both Parties shall submit and update their MCT to any MCT data sources e.g. OAG, Innovata, MCTWare, IATA and etc.

#### 6. Representatives

The departments responsible for the administration of this Annex are:

BT: International Relations RIXDCBT
Schedule Planning RIXSPBT
SK: Alliances STONQSK

Schedule Planning STORUSK

# Code Share Agreement between Scandinavian Airlines System and airBaltic

#### 7. Validity

This Annex 1 to the Code Share Agreement between SK and airBaltic shall become effective from March 29, 2009 and remain valid, if no amendment becomes necessary, as long as the Code Share Agreement between SK and airBaltic remains in force. It may be altered and substituted by a new Annex if alterations concerning any items in this Annex have been jointly agreed and signed by both Parties.

For and on behalf of Scandinavian Airlines System DENMARK-NORWAY-SWEDEN For and on behalf of airBaltic Corporation SIA

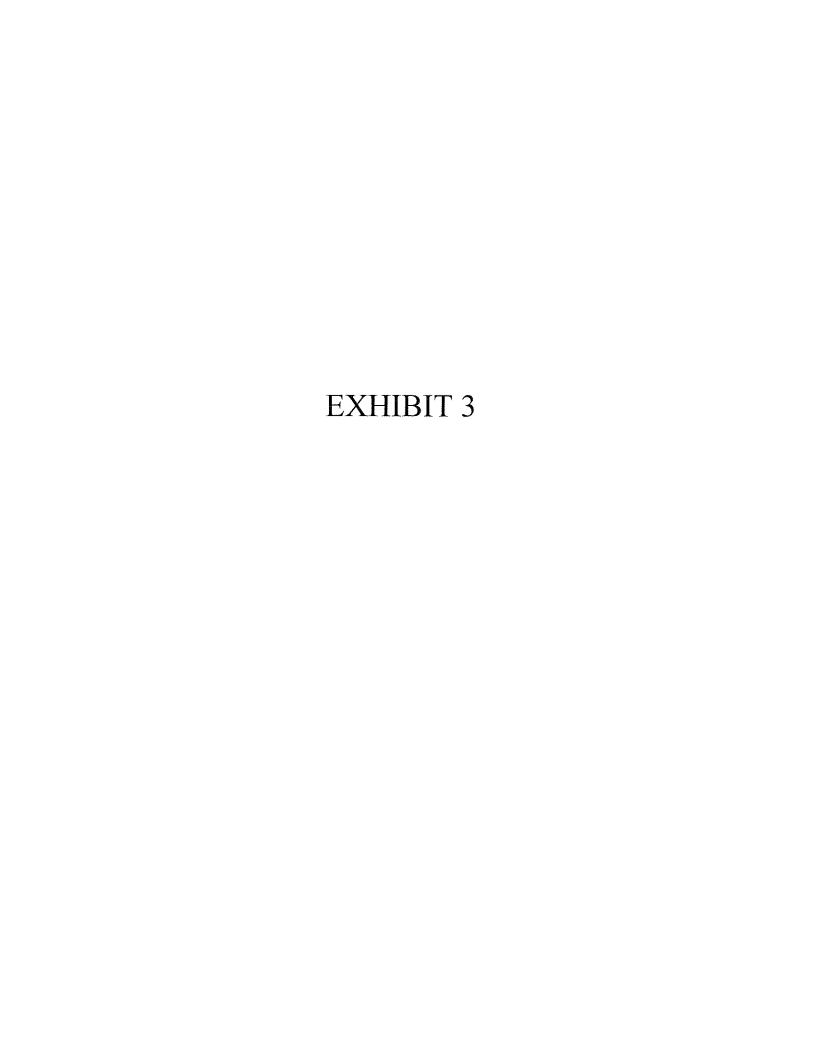
Christian Hylander

**Gunther Sollinger** 

V.P. Network and Revenue Management Date:

S.V.P. External and Corporate Affairs

Date:





# LATVIJAS REPUBLIKAS SATIKSMES MINISTRIJA

MINISTRY OF TRANSPORT OF THE REPUBLIC OF LATVIA

# **AVIACIJAS DEPARTAMENTS**

AVIATION DEPARTMENT

A TELEGRAPHIC CONTROL OF SECTION AND ASSESSED AND ASSESSED AND ASSESSED AND ASSESSED ASSESSED AND ASSESSED ASSESSED AND ASSESSED ASSESSED.

Riga 48.40.2007 Nr. 44-30/841

## ROUTE LICENCE 07 - 23

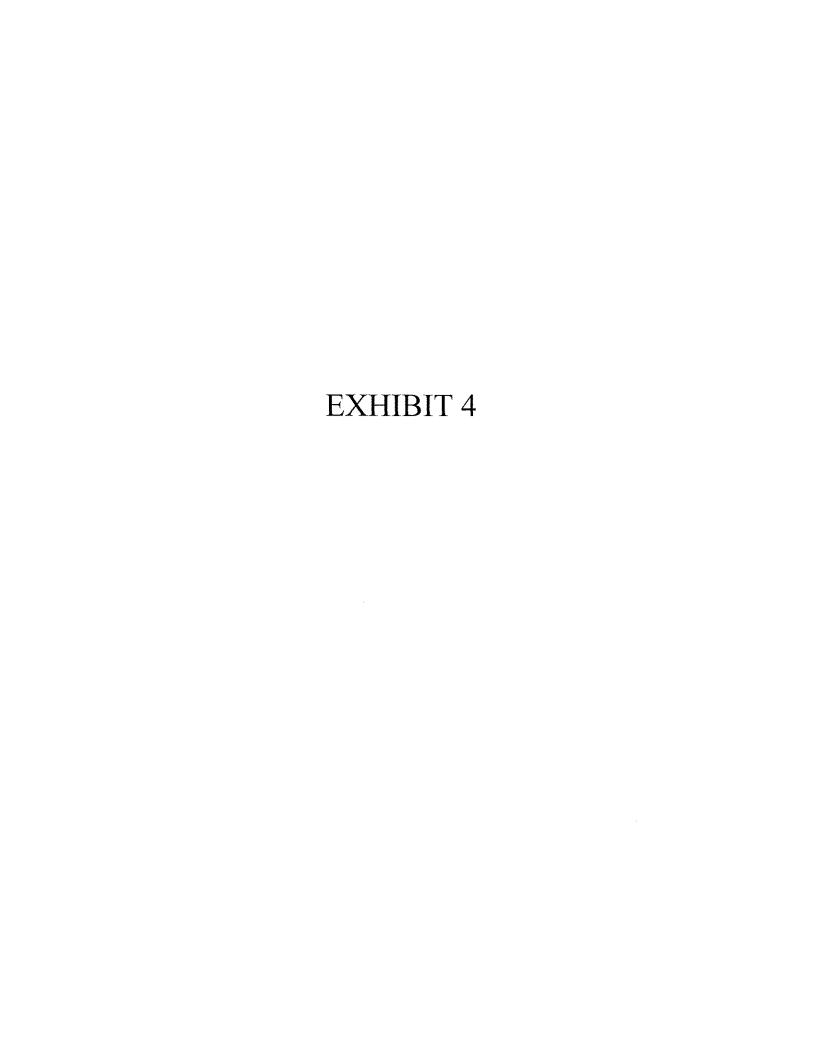
It is hereby certified that in pursuance of Article 84 of Latvian Aviation Law and Regulation No 218 of the Cabinet of Ministers of the Republic of Latvia "Regulations on the Carriage of Passengers, Baggage and Cargo by Air"

# "AIR BALTIC CORPORATION"

is hereby granted permission to exercise scheduled air services between Riga and New York.

Parthermore it is certified that the said company operates in accordance with the Standards of ICAO Annex 6 Part 1 and their operations and maintenance activities are subject to a government inspection system.

Arnis Muiznieks Director



# Exhibit 4

# **AirBaltic Officers and Key Management Personnel**

Name <u>Title</u>

	8/400
Bertoldt Martin Flick	President and CEO
Laila Odina	Chief Operations Officer
Martins Antonovics	Chief Financial Officer
Arvis Skujenieks	Chief Information Officer
Gunther Sollinger	SVP External Relations & Corporate Affairs
Richard Austin Roberts	SVP Strategic network & Fleet Planning
Jonny Anderson	VP Ground Operations
Aļģirts Miķelsons	VP Technical Department
Tero Taskila	VP Commercial Department
Paul Cālītis	VP Flight Operations Department
Edgars Silins	VP Network Planning
Gregory Pomerantsev	VP Sales
Anita Gaile	VP Human Resources





Office of the Secretary of Transportation

#### AGENCY DISPLAY OF ESTIMATED BURDEN

The public reporting burden for this collection of information is estimated to average 30 minutes per response. If you wish to comment on the accuracy of the estimate or make suggestions for reducing this burden, please direct your comments to: U.S. Department of Transportation, Office of Aviation Analysis, X-56, 400 7th St., SW,., Washington, D.C. 20590. According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

NOTE: For information on where to file completed copies of this form, see FILING INSTRUCTIONS below.

OMB No. 2106-0030 Expires 9-30-2007

# FOREIGN AIR CARRIERS - CERTIFICATE OF INSURANCE

# POLICIES OF INSURANCE FOR AIRCRAFT ACCIDENT BODILY INJURY AND PROPERTY DAMAGE LIABILITY

FILING INSTRUCTIONS: File a signed original of this form with the Federal Aviation Administration, Air Transportation Div., AFS-260, 800 Independence Ave., SW., Washington, DC 20591. (See **EXCEPTION** below.)

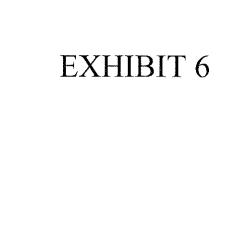
EXCEPTION: If Section 2.A. is filled in because the insured is a Canadian Charter Air Taxi Operator, file an original of this form with the U.S. Department of Transportation, Special Authorities Division (X-46), 400 7th Street, SW, Washington, D.C. 20590

(Please type information, except signatures.)

	(Name of Insurer)		
has issued a policy or po	licies of Aircraft Liability Insurance to		
AIR BALTIC CORPORATION		053, LATVIA	
FAA Certificate Number	(Name, address and FAA Certificate number of Insured Fig. 2008 until top (10) down after until top action (10)	oreign Air Carrier)	
CHOCKER HOLL I DECELL	ber, 2008 until ten (10) days after written notice from ceived by the Department of Transportation.	m the insurer or c	arrier of the intent to
NOTE: Part 205 of the Dep such a date is unacceptable	artment's Regulations does not allow for a predetermined e.	d termination date,	and a certificate showing
	surplus line insurer in the State(s) of		
The insurer assumes, un following during operation, (Complete applicable sec A. CANADIAN CHARTE The aircraft covered by the content of the covered by the content of the covered by the co	der the policy or policies listed below, aircraft accident lia maintenance, or use of aircraft in "foreign air transportation in transportation in the second in the secon	ibility insured to mir on" as that term is c	imums at least equal to the lefined in 49 U.S.C. 40102.
The insurer assumes, un following during operation, (Complete applicable sec A. CANADIAN CHARTE The aircraft covered by thess; and/or (2) a maximum coverage as appropriate)  Separate Coverages:	der the policy or policies listed below, aircraft accident lia maintenance, or use of aircraft in "foreign air transportation in transportation in the second in the secon	ibility insured to miron" as that term is constructed by the construction of the const	imums at least equal to the lefined in 49 U.S.C. 40102. pacity of 7,500 pounds or plete separate or combined
The insurer assumes, un following during operation, (Complete applicable sec A. CANADIAN CHARTE The aircraft covered by the less; and/or (2) a maximum coverage as appropriate)	der the policy or policies listed below, aircraft accident lia maintenance, or use of aircraft in "foreign air transportation ition A, B, or C below):  R AIR TAXI OPERATORS WITH PART 294 AUTHORIT his policy have: (1) 30 or fewer passenger seats and a ma authorized takeoff weight on wheels of no more than 35, is:  Type of Liability	ibility insured to miron" as that term is constructed by the construction of the const	imums at least equal to the lefined in 49 U.S.C. 40102. pacity of 7,500 pounds or plete separate or combined imum Limit
The insurer assumes, un following during operation, (Complete applicable sec A. CANADIAN CHARTE The aircraft covered by thess; and/or (2) a maximum coverage as appropriate)  Separate Coverages:	der the policy or policies listed below, aircraft accident lia maintenance, or use of aircraft in "foreign air transportation A, B, or C below):  R AIR TAXI OPERATORS WITH PART 294 AUTHORIT his policy have: (1) 30 or fewer passenger seats and a mathorized takeoff weight on wheels of no more than 35, is authorized takeoff weight on wh	ibility insured to miron" as that term is con as that term is con as that term is con as the content of the con	imums at least equal to the lefined in 49 U.S.C. 40102. pacity of 7,500 pounds or plete separate or combined
The insurer assumes, un following during operation, (Complete applicable sec A. CANADIAN CHARTE The aircraft covered by thess; and/or (2) a maximum coverage as appropriate)  Separate Coverages:	der the policy or policies listed below, aircraft accident lia maintenance, or use of aircraft in "foreign air transportation A, B, or C below):  R AIR TAXI OPERATORS WITH PART 294 AUTHORIT his policy have: (1) 30 or fewer passenger seats and a ma authorized takeoff weight on wheels of no more than 35, :  Type of Liability  Combined Bodily Injury (Excluding Passengers other	ibility insured to mir on" as that term is on "Y ONLY" aximum payload ca ,000 pounds. (Com, Mina Each person	imums at least equal to the lefined in 49 U.S.C. 40102.  pacity of 7,500 pounds or plete separate or combined imum Limit  Each Occurrence
The insurer assumes, un following during operation, (Complete applicable sec A. CANADIAN CHARTE The aircraft covered by thess; and/or (2) a maximum coverage as appropriate)  Separate Coverages: Policy No.  Combined Coverage: The insurer assumes a coverage as appropriate.	der the policy or policies listed below, aircraft accident lia maintenance, or use of aircraft in "foreign air transportation and a property in the policy have: (1) 30 or fewer passenger seats and a magauthorized takeoff weight on wheels of no more than 35, and the policy have: (1) 30 or fewer passenger seats and a magauthorized takeoff weight on wheels of no more than 35, and the policy have are passengers of the combined Bodily Injury (Excluding Passengers of the than cargo attendants) and Property Damage Liability	ibility insured to mirron" as that term is con as the contact and	imums at least equal to the lefined in 49 U.S.C. 40102.  pacity of 7,500 pounds or plete separate or combined imum Limit  Each Occurrence \$2,000,000*(See note)  \$75,000 x 75% of total number of passenger seats installed in aircraft
The insurer assumes, un following during operation, (Complete applicable sec A. CANADIAN CHARTE The aircraft covered by the less; and/or (2) a maximum coverage as appropriate)  Separate Coverages: Policy No.  Combined Coverage: The stated above for bodily in	der the policy or policies listed below, aircraft accident lia maintenance, or use of aircraft in "foreign air transportation and the policy of the policy of the policy have:  R AIR TAXI OPERATORS WITH PART 294 AUTHORIT his policy have: (1) 30 or fewer passenger seats and a material authorized takeoff weight on wheels of no more than 35, and the policy of the poli	ibility insured to mirron" as that term is con as the contact and	imums at least equal to the lefined in 49 U.S.C. 40102.  pacity of 7,500 pounds or plete separate or combined imum Limit  Each Occurrence \$2,000,000*(See note)  \$75,000 x 75% of total number of passenger seats installed in aircraft all to the required minimums

OST Form 6411

	s or less). (Complete separate or comb	inea coverage as		Minimum .	Limit
☐ Separate Coverages: Policy No.	Time of Lightlike				
r oney ivo.	Type of Liability  Combined Bodily Injury (Excluding Pa	esengers other	Each person \$300,000		Each Occurrence \$2,000,000
	than cargo attendants) and Property E		\$300,000	,	\$2,000,000
	Passenger Bodily Injury		\$300,000	)	\$300,000 x 75% of total number of passenger seats installed in aircraft
<ul> <li>Combined Coverage: The stated above for bodily in</li> </ul>	is combined coverage is a single limit of l jury (excluding passengers), property da	liability for each occ maged, and passer	currence at leas	t equal to t	he required minimums
Policy No		Amount of	Coverage		U.S. Dollars
☐ This policy covers CARG	O operations only and excludes passeng	ns only and excludes passenger liability insurance.			
The aircraft covered by thi	S OPERATING LARGE AIRCRAFT is policy are LARGE AIRCRAFT (i.e., v 000 pounds). (Complete separate or co	vith more than 60 ombined coverage	as appropriate	ats or with : e): Minimum I	
Policy No.	Type of Liability		Each person		Each Occurrence
	Combined Bodily Injury (Excluding Pathhan cargo attendants) and Property D	ssengers other Damage Liability	\$300,000		\$20,000,000
	Passenger Bodily Injury		\$300,000	)	\$300,000 x 75% of total number of passenger seats installed in aircraft
Policy No.AK0826631	ury (excluding passengers), property dai O operations <i>only</i> and <i>excludes</i> passeng	it of liability for each occurrence at least equal to the required minimum damaged, and passenger bodily injury.  Amount of Coverage AS REQUIRED BY PART 14 CFR 20 senger liability insurance.			
The policy or policies lister	d in this certificate insure(s) (Check Or	ne): Make and	Model	FAA	or Foreign Flag
<ul> <li>Operations conducted with</li> </ul>	h all aircraft operated by the insured the following types of aircraft: ng aircraft: (Use additional page if necessar	у)			Registration No.
Each policy listed in this co	ertificate meets or exceeds the require	ments in 14 CFR I	Part 205.		
CERTAIN LLOYD'S UND	ERWRITERS AND VARIOUS				
INSURANC	AON LIMITED, AVIATION				
(Name	(Name of Broker, if applicable)				
/Δ	ddrasel		8 DEVONSHI		E
(Address) (City, State, Zip Code)		(Address) LONDON EC2M 4PL			
			(City, State,		
		PAUL BAKER			
ntact (person who can verify t ,	the effectiveness of the coverage)	(Of	ficer or authorize	d represen	tative)
ea Code, Phone Number)	(Area Code, Fax Number)	(Area Code, Phone	Number)	/ (Area Co	de, Fax Number)
		(+44) 20 7668 9606		(+44) 20	7216 3149
(Signatu	ire, if applicable)		SIR	Thef	
				)	





## U.S. Department of Transportation

Office of the Secretary of Transportation

#### **AGREEMENT**

The undersigned carriers (hereinafter referred to as "the Carriers") hereby agree as follows:

1. Each of the Carriers shall, effective May 16, 1966, include the following in its conditions of carriage, including tariffs embodying conditions of carriage filed by it with any government:

"The Carrier shall avail itself of the limitation of liability provided in the Convention for the Unification of Certain Rules Relating to International Carriage by Air signed at Warsaw October 12th, 1929, or provided in the said Convention as amended by the Protocol signed at The Hague September 28th, 1955. However, in accordance with Article 22(1) of said Convention, or said Convention as amended by said Protocol, the Carrier agrees that, as to all international transportation by the Carrier as defined in the said Convention or said Convention as amended by said Protocol, which, according to the contract of Carriage, includes a point in the United States of America as a point of origin, point of destination, or agreed stopping place

- The limit of liability for each passenger for death, wounding, or other bodily injury shall be the sum of US \$75,000 inclusive of legal fees and costs, except that, in case of a claim brought in a State where provision is made for separate award of legal fees and costs, the limit shall be the sum of US \$58,000 exclusive of legal fees and costs.
- (2) The Carrier shall not, with respect to any claim arising out of the death, wounding, or other bodily injury of a passenger, avail itself of any defense under Article 20(1) of said Convention or said Convention as amended by said Protocol.

Nothing herein shall be deemed to affect the rights and liabilities of the Carrier with regard to any claim brought by, on behalf of, or in respect of any person who has willfully caused damage which resulted in death, wounding, or other bodily injury of a

2. Each Carrier shall, at the time of delivery of the ticket, furnish to each passenger whose transportation is governed by the Convention, or the Convention as amended by the Hague Protocol, and by the special contract described in paragraph 1, the following notice, which shall be printed in type at least as large as 10 point modern type and in ink contrasting with the stock on (i) each ticket; (ii) a piece of paper either placed in the ticket envelope with the ticket or attached to the ticket; or (iii) on the ticket envelope:

## "ADVICE TO INTERNATIONAL PASSENGER ON LIMITATION OF LIABILITY

Passengers on a journey involving an ultimate destination or a stop in a country other than the country of origin are advised that the provisions of a treaty known as the Warsaw Convention may be applicable to the entire journey, including any portion entirely within the country of origin or destination. For such passengers on a journey to, from, or with an agreed stopping place in the United States of America, the Convention and special contracts of carriage embodied in applicable tariffs provide that the liability of **Icertain** 

[(name of carrier) and certain other] carriers parties to such special contracts for death of or personal injury to passengers is limited in most cases to proven damages not to exceed US \$75,000 per passenger, and that this liability up to such limit shall not depend on negligence on the part of the carrier. For such passengers traveling by a carrier not a party to such special contracts or on a journey not to, from, or having an agreed stopping place in the United States of America, liability of the carrier for death or personal injury to passengers is limited in most cases to approximately US \$10,000 or US \$20,000.

The names of Carriers parties to such special contracts are available at all ticket offices of such carriers and may be examined on request.

Additional protection can usually be obtained by purchasing insurance from a private company. Such insurance is not affected by any limitation of the carrier's liability under the Warsaw Convention or such special contracts of carriage. For further information please consult your airline or insurance company representative."

3. [This Agreement was filed with the Civil Aeronautics Board of the United States. The Board approved it by Order E-23680, adopted May 13, 1966. The Agreement (Agreement 18900) became effective May 16, 1966. On January 1, 1985, this Agreement became the responsibility of the Department of Transportation (DOT) by operation of law.]

4. This Agreement may be signed in any number of counterparts, all of which shall constitute one Agreement. Any Carrier may

become a party to this Agreement by signing a co	ounterpart hereof and depositin	g it with DOT.	and the second s
<ol><li>Any Carrier party hereto may withdraw fr and the other Carriers parties to the Agreement.</li></ol>		I MATTI	
+F14b	(Signature and Date)	JAT MIN	29.04.2009
*Either alternative may be used.	(Printed Name and Title)	MARTINS	ANTONOVICS
	(Name and Address of Carrier)	CHIEF FINA	NCIAL OFFICER
OST Form 4523 (Formerly CAB Form 263)		ATRIBALTI	C CORPORATION
		Riga Inte	rnational Airport

Riga, LATVIA LV-1053

#### **CERTIFICATE OF SERVICE**

I hereby certify that I have on this day served the foregoing Joint Application of Scandinavian Airlines System and Air Baltic Corporation JSC for Statement of Authorization and Related Exemption Authority by e-mail on the following persons:

ben.graves@evergreenairlines.com

Bruce.rabinowitz@wilmerhale.com

carl.nelson@aa.com

dvaughan@kellydrye.com

efaberman@wileyrein.com

hkamen@coair.com

howard kass@usairways.com

jeffrey.manley@united.com

jhill@dowlohnes.com

jrichardson@jrichardson.com

Kevin.Montgomery@polaraircargo.com

lachter@starpower.com

matwood@sherblackwell.com

memillin@woa.com

mlbenge@zsrlaw.com

mroller@rollerbauer.com

msinick@ssd.com

nssparks@fedex.com

pmurphy@ggh-airlaw.com

recohn@hhlaw.com

russel.pommer@atlasair.com

sacha.vanderbellen@delta.com

steve.rossum@astaraircargo.us

jim.ballough@faa.gov

fbavent@airlineinfo.com

and by first class mail on the following parties:

Flight Standards Service (ASF01)

Federal Aviation Administration

800 Independence Avenue, S.W.

Washington, D.C. 20591

Michael F. Goldman

Wichael Goldman

May 4, 2009